

Image

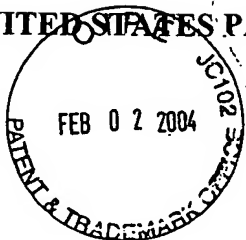
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Sabia

Serial No: 10/035564

Filed: 10/26/2001

For: DIRECT BONDING OF ARTICLES
CONTAINING SILICON



Examiner: ROSSI, Jessica

Group Art Unit: 1733

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 - 1.98

Commissioner of Patents
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

The undersigned attorney is granted limited recognition by the Office of Discipline and Enrollment of the USPTO to practice before the USPTO in capacity as an employee of Corning Incorporated. A copy of the document granting such limited recognition either has been previously submitted or is submitted herewith for the record.

Please charge the fee under 37 C.F.R. § 1.17(p) to Corning Incorporated Deposit Account No. 03-3325.

Please direct any questions or comments to the undersigned at (607) 248-1253.

Respectfully submitted,

Siwen Chen
Corning Incorporated
SP-TI-03-1
Corning, NY 14831
607-248-1253
Date: January 28, 2004

| | |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on | |
| 1-29-04 | |
| Date of Deposit | |
| | |
| Signature: Colleen E. Doherty | |

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FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.

SERIAL NO.

FEB 02 2004

LIST OF PATENTS AND
PUBLICATIONS

SP01-301

10/035564

FOR APPLICANTS INFORMATION
DISCLOSURE STATEMENT

APPLICANT: Robert Sabia.

FILING DATE 10/26/2001

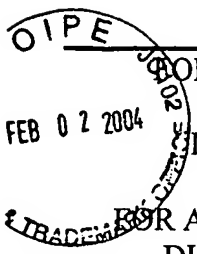
GROUP: 1733

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document Number | Date | Name | Class | Sub-Class | Filing Date if Approp. |
|------------------|----|-----------------|----------|-----------------|-------|-----------|------------------------|
| | AA | 4,186,999 | 2/5/80 | Harwood et al | 350 | 96.21 | |
| | AB | 4,530,452 | 7/23/85 | Balyasny et al | 225 | 96 | |
| | AC | 4,626,068 | 12/2/86 | Caldwell | 350 | 96.34 | |
| | AD | 5,346,583 | 9/13/94 | Basavanhally | 156 | 629 | |
| | AE | 5,452,122 | 9/19/95 | Tsuneda et al | 359 | 281 | |
| | AF | 5,579,421 | 11/26/96 | Duvall et al | 385 | 14 | |
| | AG | 5,631,986 | 5/20/97 | Frey et al | 385 | 78 | |
| | AH | 5,846,638 | 12/8/98 | Meissner | 428 | 220 | |
| | AI | 5,852,622 | 12/22/98 | Meissner et al | 372 | 39 | |
| | AJ | 5,989,372 | 11/23/99 | Momoda et al | 156 | 89.11 | |
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| | AL | 6,048,103 | 4/11/00 | Furukata et al | 385 | 73 | |
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| | AN | 6,275,336 | 8/14/01 | Yoshikawa et al | 359 | 484 | |
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| | AQ | 2003/0206345 | 11/6/03 | Sabia et al | 359 | 484 | |
| | AR | 2003/0206347 | 11/6/03 | Sabia et al | 359 | 484 | |
| | AS | 2003/0081930 | 5/1/03 | Filhaber et al | 385 | 147 | |
| | AT | 4,960,331 | 10/2/90 | Goldman et al | 356 | 350 | |
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| | AV | 5,441,803 | 8/15/95 | Meissner | 428 | 220 | |
| | AW | 5,689,519 | 11/18/97 | Fermann et al | 372 | 18 | |
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| | AY | 6,548,176 | 4/15/03 | Gwo | 428 | 420 | |
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FORM PTO-1449 (MODIFIED)

LIST OF PATENTS AND
PUBLICATIONS

FOR APPLICANTS INFORMATION
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ATTORNEY DOCKET NO.

SP01-301

SERIAL NO.

10/035564

APPLICANT: Robert Sabia.

FILING DATE 10/26/2001

GROUP: 1733

FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Sub-Class | Translation | |
|--|----|-----------------|----------|--------------------------|-------|-----------|-------------|----|
| | | | | | | | Yes | No |
| | AA | WO01/98225 | 12/27/01 | PCT | C03C | 27/06 | | |
| | AB | WO00/17698 | 3/30/00 | PCT | G02F | 1/09 | | |
| | AC | DE19731075 | 1/21/99 | Germany | C03C | 29/00 | | |
| | AD | DE2130905 | 1/11/73 | Germany | H01j | 19/56 | | |
| | AE | 08-146351 | 6/7/96 | Japan (English Abstract) | G02B | 27/28 | | |
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| | AG | 2000-56265 | 2/25/00 | Japan (English Abstract) | G02B | 27/28 | | |
| | AH | 03-115178 | 5/16/91 | Japan (English Abstract) | C04B | 37/00 | | |

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**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Siwen Chen is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Corning Incorporated to prepare and prosecute patent applications in which (i) Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; (ii) a wholly-owned subsidiary of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; or (iii) a joint venture of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Siwen Chen ceases to lawfully reside in the United States, (ii) Siwen Chen's employment with Corning Incorporated ceases or is terminated, or (iii) Siwen Chen ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: February 20, 2004

Harry I. Moatz

Director of Enrollment and Discipline

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